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May 2, 2022

VIA EMAIL

Maryland Attorney General
Idtheft@oag.state.md.us

RE: Notice of Data Event

Dear Sir or Madam:

We represent Hartmann Financial Advisors ("HFA"), a financial services firm located at 7200 S. Alton Way, Suite A110, Centennial, CO 80112. HFA recently learned that an unauthorized person may have had access to and/or acquired certain personal information of its current and former clients. HFA retained this firm and a cybersecurity forensics firm to investigate a security incident that occurred on or about September 29, 2021, and, while HFA's investigation is ongoing, it is HFA's understanding that data from its network was accessed and acquired by unauthorized actors at some point between September 29th 2021 and October 6th 2021. This submission is, accordingly, made to ensure compliance with the Maryland data breach notification law Md. Code Com. Law §14-3504 (2018), which requires notice to your office in the event of a breach in the security of personal information affecting residents of the State of Maryland.

On September 29, 2021, HFA became aware of an intruder into their system. HFA's information technology managed service provider took immediate steps to eliminate access by the intruder and secure the network. HFA shortly thereafter began working with counsel and a third-party cybersecurity specialist to investigate the incident. The investigation revealed, on or about March 22, 2022, personal information respecting HFA's current and former clients was potentially accessed and/or acquired by an unauthorized actor between the dates of September 29, 2021 through October 6, 2021. A comprehensive review of the information revealed that four (4) residents of the State of Maryland was potentially affected. The types of personal information impacted include name, Social Security number, and financial account information.

HFA notified all former and current clients whose information was potentially accessed and/or acquired. After providing this notification, HFA will provide notification to the four (4)

residents of the State of Maryland affected by the incident. A sample copy of that notice is attached as Exhibit "A" for your records.



I believe this provides you with all information necessary for your purposes and to comply with Maryland law. However, if anything further is needed, please contact me directly.

Respectfully,

FREEMAN MATHIS & GARY, LLP

A handwritten signature in blue ink, appearing to read 'Kirsten Patzer', written over a horizontal line.

Kirsten Patzer

EXHIBIT A

May 2, 2022



7200 S. Alton Way, Suite A110
Centennial, CO 80112
Don@hartmannadvisors.com

«AddressBlock»

«GreetingLine»

At Hartmann Financial Advisors (“HFA”), we take the issue of privacy seriously. As part of that commitment, we are notifying you of a recent data security incident that may have affected your personal information. Please read this letter carefully.

What Happened

We recently learned that on September 29, 2021, an unauthorized person gained access to our network. We interrupted the attack and preemptively shut down our network to contain the incident. We immediately began working with cyber specialists to investigate the incident.

Our investigation into the full scope of the incident is ongoing, but it is our understanding that data from our network was accessed and acquired by unauthorized actors at some point between September 29th 2021 and October 6th 2021.

What Information Was Involved

At this time, we cannot confirm that your personal information was in fact accessed, viewed or acquired. However, you are receiving this letter because, based on our investigation, there was the potential for unauthorized access to and/or acquisition of your personal information, including your full name, address, Social Security number, account numbers, and driver's license information.

What We Are Doing

We immediately undertook an investigation of the incident with the guidance and assistance of a third-party cybersecurity firm. We have worked to identify the scope of the incident and to ensure the security of our system and data. We immediately reset passwords and implemented multi-factor

authentication to prevent future unauthorized access. In addition, we are reviewing our existing security measures in place for enhancements to best prevent similar incidents from occurring in the future.

What You Can Do

As an additional precautionary measure to help protect your identity, we are offering a complimentary two (2) year membership of Experian IdentityWorksSM. This product helps detect possible misuse of your personal information through triple-bureau credit monitoring and provides you with superior identity protection support focused on immediate identification and resolution of identity theft. Please refer to enclosed documentation from Experian for additional information and enrollment instructions, including your personal activation code.

Please refer to the enclosed document titled “Additional Steps to Help Protect Your Information” for more information and recommended steps you can take in response to this incident, including some information that may be specific to your state of residence.

For More Information

We are very sorry for any concern or inconvenience this incident has caused or may cause you. If you have any other questions or concerns that you would like to discuss, you may contact us through our dedicated hotline at 303-756-8900.

Sincerely,

Don Hartmann

Don Hartmann
President

ADDITIONAL STEPS TO HELP PROTECT YOUR INFORMATION

Review personal account statements and credit reports. We recommend that you remain vigilant by reviewing personal account statements and monitoring credit reports to detect any errors or unauthorized activity. Under federal law, you also are entitled every 12 months to one free copy of your credit report from each of the three major credit reporting companies. To obtain a free annual credit report, go to www.annualcreditreport.com or call (877) 322-8228. You may wish to stagger your requests so that you receive a free report by one of the three credit bureaus every four months. If you discover any suspicious items, you should report any incorrect information on your report to the credit reporting agency. The names and contact information for the credit reporting agencies are:

Equifax 1-866-766-0008 P.O. Box 105069 Atlanta, GA 30348 www.equifax.com	Experian 1-888-397-3742 P.O. Box 9554 Allen, TX 75013 www.experian.com	TransUnion 1-800-680-7289 P.O. Box 2000 Chester, PA 19022 www.transunion.com
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Report suspected fraud. You have the right to file a police report if you ever experience identity fraud. Please note that in order to file a crime report or incident report with law enforcement for identity theft, you will likely need to provide some kind of proof that you have been a victim. A police report is often required to dispute fraudulent items. You should report suspected incidents of identity theft to local law enforcement, your state's Attorney General, and/or the Federal Trade Commission.

Place Fraud Alerts. A fraud alert tells businesses that check your credit that they should check with you before opening a new account. Starting September 21, 2018, when you place a fraud alert, it will last one year, instead of 90 days. Fraud alerts will still be free and identity theft victims can still get an extended fraud alert for seven years. If you choose to place a fraud alert, we recommend you do this after activating your credit monitoring. To place a security freeze, contact the nationwide credit reporting agencies by phone or online. For more information, visit <https://www.consumer.ftc.gov/articles/0275-place-fraud-alert>.

Place a Security Freeze. Security freezes, also known as credit freezes, restrict access to your credit file, making it harder for identity thieves to open new accounts in your name. Starting September 21, 2018, you can freeze and unfreeze your credit file for free. You also can get a free freeze for your children who are under 16. And if you are someone's guardian, conservator or have a valid power of attorney, you can get a free freeze for that person, too. To place a security freeze, contact the nationwide credit reporting agencies by phone or online. If you request a freeze online or by phone, the agency must place the freeze within one business day. If you request a lift of the freeze, the agency must lift it within one hour. If you make your request by mail, the agency must place or lift the freeze within three business days after it gets your request. You also can lift the freeze temporarily without a fee. Also, do not confuse freezes with locks. They work in a similar way, but locks may have monthly fees. If you want a free freeze guaranteed by federal law, then opt for a freeze, not a lock. For more information, visit <https://www.consumer.ftc.gov/articles/0497-credit-freeze-faqs>.

Change Online Account Credentials. If the information involved in this incident included credentials used to access any of your online accounts, such as a username, password, PIN, or answer security question, you should promptly change your username, password, PIN, security question and answer, or other access credentials and take other appropriate steps to protect all online accounts for which you use the same credentials.

Obtain additional information about the steps you can take to avoid identity theft from the following entities:

- **California Residents:** Visit the California Office of Privacy Protection, www.privacy.ca.gov, for additional information on protection against identity theft.
- **Iowa Residents:** Office of the Attorney General of Iowa, Hoover State Office Building, 1305 E. Walnut Street, Des Moines, IA 50319, www.iowaattorneygeneral.gov, (515) 281-5164.
- **Kentucky Residents:** Office of the Attorney General of Kentucky, 700 Capitol Avenue, Suite 118 Frankfort, Kentucky 40601, www.ag.ky.gov, (502) 696-5300.
- **Maryland Residents:** Office of Attorney General of Maryland, Consumer Protection Division, 200 St. Paul Place, Baltimore, MD 21202, www.oag.state.md.us/Consumer, (888) 743-0023.
- **North Carolina Residents:** Office of the Attorney General of North Carolina, 9001 Mail Service Center, Raleigh, NC 27699-9001, www.ncdoj.com, (919) 716-6400.
- **Oregon Residents:** Oregon Department of Justice, 1162 Court Street NE, Salem, OR 97301-4096, www.doj.state.or.us, (877) 877-9392.
- **Rhode Island Residents:** Office of the Attorney General, 150 South Main Street, Providence, Rhode Island 02903, www.riag.ri.gov, (401) 274-4400.
- **All US Residents:** Identity Theft Clearinghouse, Federal Trade Commission, 600 Pennsylvania Avenue, NW Washington, DC 20580, www.consumer.ftc.gov, 1-877-IDTHEFT (438-4338).

Know Your Rights Under the Fair Credit Reporting Act. The federal Fair Credit Reporting Act (FCRA) promotes the accuracy, fairness, and privacy of information in the files of consumer reporting agencies. You have certain rights under the FCRA, which you can read about by visiting <https://www.consumer.ftc.gov/articles/pdf-0096-fair-credit-reporting-act.pdf> and <https://www.consumer.ftc.gov/articles/0070-credit-and-your-consumer-rights>. These rights include: (1) You must be told if information in your file has been used against you; (2) You have the right to know what is in your file (you “file disclosure”); (3) You have the right to ask for a credit score; (4) You have the right to dispute incomplete or inaccurate information; (5) Consumer reporting agencies must correct or delete inaccurate, incomplete, or unverifiable information; (6) Consumer reporting agencies may not report outdated negative information; (7) Access to your file is limited to people with a valid need; (8) You must give your consent for reports to be provided to employers; (8) You may limit “prescreened” offers of credit and insurance you get based on information in your credit report; (9) You may seek damages from violators; and (10) identity theft victims and active duty military personnel have additional rights. For more information, visit www.ftc.gov/credit. States may enforce the FCRA, and many states have their own consumer reporting laws. In some cases, you may have more rights under state law. For more information, contact your state or local consumer protection agency or your state Attorney General.



ENROLLMENT INSTRUCTIONS AND ADDITIONAL INFORMATION ABOUT YOUR EXPERIAN® IDENTITYWORKSSM MEMBERSHIP

To help protect your identity, we are offering you a **complimentary** two-year membership of Experian IdentityWorksSM Credit 1B. This product helps detect possible misuse of your personal information and provides you with superior identity protection support focused on immediate identification and resolution of identity theft.

Activate IdentityWorks Credit 1B Now in Three Easy Steps:

1. ENROLL by: **DATE** (Your code will not work after this date.)
2. VISIT the **Experian IdentityWorks website** to enroll:
<https://www.experianidworks.com/1bcredit>
3. PROVIDE the **Activation Code: [Activation Code]**

If you have questions about the product, need assistance with identity restoration or would like an alternative to enrolling in Experian IdentityWorks online, please contact Experian's customer care team at 877-288-8057. Be prepared to provide engagement number **[Code]** as proof of eligibility for the identity restoration services by Experian.

A credit card is **not** required for enrollment in Experian IdentityWorks Credit 1B.

You can contact Experian **immediately without needing to enroll in the product** regarding any fraud issues. Identity Restoration specialists are available to help you address credit and non-credit related fraud.

Once you enroll in Experian IdentityWorks, you will have access to the following additional features:

- **Experian credit report at signup:** See what information is associated with your credit file. Daily credit reports are available for online members only.*
- **Credit Monitoring:** Actively monitors Experian, Equifax and Transunion files for indicators of fraud.
- **Experian IdentityWorks ExtendCARETM:** You receive the same high-level of Identity Restoration support even after your Experian IdentityWorks membership has expired.
- **\$1 Million Identity Theft Insurance^{**}:** Provides coverage for certain costs and unauthorized electronic fund transfers.

Activate your membership today at **<https://www.experianidworks.com/3bcredit>**
or call 877-288-8057 to register with the activation code above.

What you can do to protect your information: There are additional actions you can consider taking to reduce the chances of identity theft or fraud on your account(s). Please refer to

www.ExperianIDWorks.com/restoration for this information. If you have any questions about IdentityWorks, need help understanding something on your credit report or suspect that an item on your credit report may be fraudulent, please contact Experian's customer care team at 877-288-8057.