

April 28, 2023

Office of the Attorney General
Attn: Security Breach Notification
200 St. Paul Place
Baltimore, MD 21202

ldtheft@oag.state.md.us

Re: Information Security Breach Notification

Dear Sir or Madam:

This letter is for the purpose of notifying your office that Ameriprise Financial Services, Inc. ("Ameriprise Financial") had a data breach incident that involved information for 1 Ameriprise client who is a resident of Maryland.

Documents were mailed to the client's previous address in error and when it was returned by the post office, the envelope was damaged.

Ameriprise Financial is also sending a separate notification letter to the affected individual, a copy of which is enclosed. The letter describes steps Ameriprise Financial is taking to help ensure that this client's accounts are not accessed by unauthorized persons and provides them with an opportunity to enroll for one year of credit monitoring from EZ Shield, at Ameriprise Financial's expense.

If you have any questions regarding this incident, please contact me at (612) 671-2047 or via email at bob.severson@ampf.com.

Sincerely,



Robert Severson, EnCE
Mgr. - Electronic Crime Investigations | General Counsel's Office

Enclosures

Ameriprise Financial Services, LLC
70100 Ameriprise Financial Center
Minneapolis, MN 55474
ameriprise.com



April 28, 2023

Client Name
Address
City, State Zip

NOTICE OF DATA BREACH

Dear Client,

What Happened?

I am writing to inform you of an incident involving your personal information. On April 25th, 2023, we were informed that the documents which were mailed to you via UPS, were mistakenly sent to your previous address and have now been received in a damaged envelope by the Advisor's Office. Due to the sensitive nature of information, we wanted to notify you.

What Information Was Involved?

Name, Address, Account Number and Social Security Number.

What We Are Doing.

We have taken steps to protect your accounts from unauthorized activity, which includes instructing our service associates to use extra caution when verifying callers and to confirm the signature on written requests related to your accounts.

As a precaution, Ameriprise Financial is providing you an opportunity to enroll in an independent credit monitoring program for one year at no expense to you. This program is administered by **EZ Shield, Inc.** The services include **resolution assistance** by certified fraud experts, **internet monitoring**, which will alert you if your information is being traded on the dark web, and **credit monitoring** to keep you informed of changes to your information within the Experian credit bureau.

- To take advantage of these services, please go to myidentity.ezshield.com/protection and insert code: Code

What You Can Do.

We recommend the following:

- Register a fraud alert or security freeze with the three major credit bureaus. Contact information for each is included on the Additional Resources page.
- Thorough and timely review of your account statements and transaction confirmations.

- Closely monitor your personal accounts (e.g. checking and savings, credit cards, etc.) to make sure there is no unauthorized activity.
- Review any solicitations you receive in the near future.
- Be vigilant if you receive a call from someone who claims to represent Ameriprise Financial. If you have any doubts about the caller, hang up and call your financial advisor to verify the validity of the call.
- **If you notice any unusual activity, contact your financial advisor or Ameriprise Financial Customer Service at 800.862.7919 immediately. We are here to help.**

For More Information.

Please accept my sincere apology regarding this situation and any inconvenience it may cause you. Ameriprise Financial is committed to protecting your privacy and online security is a top priority for us. Please do not hesitate to contact Matt MacLean at 623.388.6465 with any questions or to request additional assistance.

Sincerely,

A handwritten signature in black ink, reading "Kathleen Dedenbach". The signature is fluid and cursive, with the first name "Kathleen" and last name "Dedenbach" clearly distinguishable.

Kathleen Dedenbach
Vice President & Chief Counsel
Global Chief Privacy Officer
Ameriprise Financial, Inc.

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Additional Resources

Contact/Resource	Phone Number	Web	Address
Federal Trade Commission Helpful information on ID Theft	877.438.4338	identitytheft.gov	600 Pennsylvania Avenue, NW Washington, DC 20580
Equifax Register a Fraud Alert or Security Freeze	800.525.6285	equifax.com	P.O. Box 740241 Atlanta, GA 30374
Experian Register a Fraud Alert or Security Freeze	888.397.3742	experian.com	P.O. Box 9554 Allen, TX 75013
Transunion Register a Fraud Alert or Security Freeze	800.680.7289	transunion.com	2 Baldwin Place P.O. Box 1000 Chester, PA 19022
Identity Theft Resource Center	888.400.5530	idtheftcenter.org	3625 Ruffin Road #204 San Diego, CA 92123
OnGuard Online Online Safety Resources		onguardonline.gov	

Ameriprise Resources

Resource	Web
Privacy, Security & Fraud Center Link to our Privacy Notice How we protect your information Reporting and Preventing Fraud	ameriprise.com/privacy-security-fraud
Online Security Guarantee	ameriprise.com/privacy-security-fraud/online-security-guarantee

Security Freeze

Many state laws also allow consumers to place a security freeze on their credit reports. A security freeze prohibits a credit reporting agency from releasing any information from a consumer's credit report without written authorization. However, please be aware that placing a security freeze on your credit report may delay, interfere with, or prevent the timely approval of any requests you make for new loans, credit mortgages, employment, housing or other services.

To place a freeze, write, go online or call the three credit bureaus below. Documents will be requested to verify your identity and address, possibly including but not limited to: copies of your Social Security card, paystub, state driver's license, or utility bill.

Contact/Resource	Phone Number	Web	Address
Equifax Security Freeze	800.349.9960	equifax.com	P.O. Box 105788 Atlanta, GA 30348-5788
Experian Security Freeze	888.397.3742	experian.com/freeze/center.html	P.O. Box 9554 Allen, TX 75013
Trans Union Security Freeze	888.909.8872	transunion.com/credit-freeze	P.O. Box 160 Woodlyn, PA 19094

Residents of **Massachusetts** and **Rhode Island**:

As a resident of Massachusetts or Rhode Island, you have the right to obtain any police report filed regarding this incident. If you are the victim of identity theft, you also have the right to file a police report and obtain a copy of it.

Residents of **Iowa, Maryland, North Carolina, New York, Kentucky, Rhode Island and Oregon**:

The Identity Theft Unit in your state gives you step-by-step advice on how to protect yourself and help address some of the issues that identity theft causes. Report suspected identity theft to your local law enforcement, the Attorney General and the Federal Trade Commission. Below is the mailing address, website, and phone number for the Attorney General office in your state.

State	Phone Number	Web	Address
Iowa	515.281.5044 800.373.5044	iowaattorneygeneral.gov	Office of the Attorney General of IA Hoover State Office Building 1305 E. Walnut Street Des Moines, IA 50319
New York	800.697.1220	dos.ny.gov/ consumerprotection	New York Department of State Division of Consumer Protection One Commerce Plaza, 99 Washington Ave Albany, NY 12231-0001
	800.771.7755	ag.ny.gov	Office of the Attorney General of NY The Capitol Albany, NY 12224-0341
North Carolina	(877) 5-NO-SCAM Toll-free within North Carolina 919.716.6000	ncdoj.gov	Office of the Attorney General of NC Consumer Protection Division 9001 Mail Service Center Raleigh, NC 27699-9001
Oregon	503.378.4400	doj.state.or.us	Oregon Department of Justice 1162 Court Street NE Salem, OR 97301-4096
Maryland	410.576.6491	oag.state.md.us	Office of the Attorney General of MD 200 St. Paul Place Baltimore, MD 21202
Kentucky	502.696.5300	ag.ky.gov	Office of the Attorney General of KY 700 Capitol Avenue, Suite 118 Frankfort, Kentucky 40601
Rhode Island	401.274.4400	riag.ri.gov	Office of the Attorney General of RI 150 South Main Street Providence, Rhode Island 02903

**District of
Columbia**

202.727.3400

oag.dc.gov

Office of the Attorney General
of DC
441 4th Street, NW
Washington, DC 20001

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