



MULLEN
COUGHLIN^{LLC}
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426 W. Lancaster Avenue, Suite 200
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September 20, 2024

VIA E-MAIL

Office of the Attorney General
Attn: Security Breach Notification
200 St. Paul Place
Baltimore, MD 21202
E-mail: idtheft@oag.state.md.us

Re: Notice of Data Event

To Whom It May Concern:

We represent Robert Ferree located at W67 N222 Evergreen Boulevard, Suite 212, Cedarburg, WI 53012, and are writing to notify your office of an event that may affect the security of certain personal information relating to approximately one (1) Maryland resident. The investigation into this matter is ongoing, and this notice will be supplemented with any new significant facts learned subsequent to its submission. By providing this notice, Robert Ferree does not waive any rights or defenses regarding the applicability of Maryland law, the applicability of the Maryland data event notification statute, or personal jurisdiction.

Nature of the Data Event

On or about July 22, 2024, Robert Ferree was made aware of suspicious activity within the trading platform that hosts his clients' accounts. Robert Ferree began an investigation to determine the nature and scope of the activity. The investigation determined that an unauthorized actor accessed certain platforms containing client information from June 15, 2024 to July 24, 2024. As a result, Robert Ferree reviewed the potentially accessible information to determine what information was affected and to whom the information relates. On August 23, 2024, Robert Ferree's review determined that certain individuals' personal information may have been in the affected data at the time of the event.

The personal information that could have been subject to unauthorized access includes name, Social Security number, and financial account information.

Notice to Maryland Resident

On or about September 20, 2024, Robert Ferree provided written notice of this event to approximately one (1) Maryland resident. Written notice is being provided in substantially the same form as the letter attached here as ***Exhibit A***.

Other Steps Taken and To Be Taken

Upon discovering the event, Robert Ferree moved quickly to investigate and respond to the event, assess the security of Robert Ferree systems, and identify potentially affected individuals. Robert Ferree is also working to review his policies and procedures to reduce the likelihood of future similar events. Additionally, in an abundance of caution, new investment account numbers were issued

Additionally, Robert Ferree is providing impacted individuals with guidance on how to better protect against identity theft and fraud, including advising individuals to report any suspected incidents of identity theft or fraud to their bank or applicable institution. Robert Ferree is providing individuals with information on how to place a fraud alert and security freeze on one's credit file, the contact details for the national consumer reporting agencies, information on how to obtain a free credit report, a reminder to remain vigilant for incidents of fraud and identity theft by reviewing account statements and monitoring free credit reports, and encouragement to contact the Federal Trade Commission, their state Attorney General, and law enforcement to report attempted or actual identity theft and fraud.

Robert Ferree is providing written notice of this event to relevant state regulators, as necessary.

Contact Information

Should you have any questions regarding this notification or other aspects of the data security event, please contact us at (267) 930-4345.

Very truly yours,

Erica Wicas of
MULLEN COUGHLIN LLC

EMW/mah
Enclosure

EXHIBIT A



Secure Processing Center
25 Route 111, P.O. Box 1048
Smithtown, NY 11787

ROBERT E. FERREE
REGISTERED REPRESENTATIVE
Phone 262-327-7751
robert_ferree@packerlandbrokerage.com

Postal Endorsement Line

<<Full Name>>

<<Address 1>>

<<Address 2>>

<<Address 3>>

<<City>>, <<State>> <<Zip>>

<<Country>>

***Postal IMB Barcode

<<Date>>

NOTICE OF <<VARIABLE HEADER>>

Dear <<Full Name>>:

I am writing to inform you of an event that may involve some of your personal information. This letter provides information about the event, our response, and resources available to you to help protect your information from possible misuse, should you feel it appropriate to do so.

What Happened? On or about July 22, 2024, I was made aware of suspicious activity within the trading platform that hosts my clients' accounts. We began an investigation to determine the nature and scope of the activity. The investigation determined that an unauthorized actor accessed certain platforms containing client information from June 15, 2024 to July 24, 2024. As a result, I reviewed the potentially accessible information to determine what information was affected and to whom the information relates. On August 23, 2024, our review determined that certain personal information related to you may have been in the affected data at the time of the event.

What Information Was Involved? The personal information that may have been accessible during this event includes your name, Social Security number, and financial account information.

What We Are Doing. The confidentiality, privacy, and security of information in our care are among our highest priorities. We are reviewing our policies and procedures to reduce the likelihood of future similar events. Additionally, in an abundance of caution, new investment account numbers were issued. The communication that you received related to your new investment account number is legitimate.

What You Can Do. We encourage you to remain vigilant against incidents of identity theft and fraud by reviewing your account statements and credit reports for suspicious activity to detect errors over the next twelve (12) to twenty-four (24) months. We encourage you to report any suspicious activity promptly to your bank or other applicable institution. Additional information and resources are included in the enclosed *Steps You Can Take to Help Protect Personal Information*.

For More Information. We understand that you may have questions about this event that are not addressed in this letter. If you have additional questions, please call the dedicated assistance line at 855-508-7277, Monday through Friday 9:00 am to 9:00 pm Eastern, excluding major U.S. holidays. You may also write to Robert Ferree at W67 N222 Evergreen Boulevard, Suite 212, Cedarburg, WI 53012.

Sincerely,

Robert Ferree

STEPS YOU CAN TAKE TO HELP PROTECT PERSONAL INFORMATION

Monitor Your Accounts

Under U.S. law, a consumer is entitled to one free credit report annually from each of the three major credit reporting bureaus, Equifax, Experian, and TransUnion. To order a free credit report, visit www.annualcreditreport.com or call, toll-free, 1-877-322-8228. Consumers may also directly contact the three major credit reporting bureaus listed below to request a free copy of their credit report.

Consumers have the right to place an initial or extended “fraud alert” on a credit file at no cost. An initial fraud alert is a 1-year alert that is placed on a consumer’s credit file. Upon seeing a fraud alert display on a consumer’s credit file, a business is required to take steps to verify the consumer’s identity before extending new credit. If consumers are the victim of identity theft, they are entitled to an extended fraud alert, which is a fraud alert lasting seven years. Should consumers wish to place a fraud alert, please contact any of the three major credit reporting bureaus listed below.

As an alternative to a fraud alert, consumers have the right to place a “credit freeze” on a credit report, which will prohibit a credit bureau from releasing information in the credit report without the consumer’s express authorization. The credit freeze is designed to prevent credit, loans, and services from being approved in a consumer’s name without consent. However, consumers should be aware that using a credit freeze to take control over who gets access to the personal and financial information in their credit report may delay, interfere with, or prohibit the timely approval of any subsequent request or application they make regarding a new loan, credit, mortgage, or any other account involving the extension of credit. Pursuant to federal law, consumers cannot be charged to place or lift a credit freeze on their credit report. To request a credit freeze, individuals may need to provide some or all of the following information:

1. Full name (including middle initial as well as Jr., Sr., II, III, etc.);
2. Social Security number;
3. Date of birth;
4. Addresses for the prior two to five years;
5. Proof of current address, such as a current utility bill or telephone bill;
6. A legible photocopy of a government-issued identification card (state driver’s license or ID card, etc.); and
7. A copy of either the police report, investigative report, or complaint to a law enforcement agency concerning identity theft if they are a victim of identity theft.

Should consumers wish to place a credit freeze or fraud alert, please contact the three major credit reporting bureaus listed below:

Equifax	Experian	TransUnion
https://www.equifax.com/personal/credit-report-services/	https://www.experian.com/help/	https://www.transunion.com/credit-help
1-888-298-0045	1-888-397-3742	1-800-916-8800
Equifax Fraud Alert, P.O. Box 105069 Atlanta, GA 30348-5069	Experian Fraud Alert, P.O. Box 9554, Allen, TX 75013	TransUnion Fraud Alert, P.O. Box 2000, Chester, PA 19016
Equifax Credit Freeze, P.O. Box 105788 Atlanta, GA 30348-5788	Experian Credit Freeze, P.O. Box 9554, Allen, TX 75013	TransUnion Credit Freeze, P.O. Box 160, Woodlyn, PA 19094

Additional Information

Consumers may further educate themselves regarding identity theft, fraud alerts, credit freezes, and the steps they can take to protect your personal information by contacting the consumer reporting bureaus, the Federal Trade Commission, or their state Attorney General. The Federal Trade Commission may be reached at: 600 Pennsylvania Avenue NW, Washington, D.C. 20580; www.identitytheft.gov; 1-877-ID-THEFT (1-877-438-4338); and TTY: 1-866-653-4261. The Federal Trade Commission also encourages those who discover that their information has been misused to file a complaint with them. Consumers can obtain further information on how to file such a complaint by way of the contact information listed above. Consumers have the right to file a police report if they ever experience identity theft or fraud. Please note that in order to file a report with law enforcement for identity theft, consumers will likely need to provide some proof that they have been a victim. Instances of known or suspected identity theft should also be reported to law enforcement and the relevant state Attorney General. This notice has not been delayed by law enforcement.

For Maryland residents, the Maryland Attorney General may be contacted at: 200 St. Paul Place, 16th Floor, Baltimore, MD 21202; 1-410-576-6300 or 1-888-743-0023; and <https://www.marylandattorneygeneral.gov/>.