



MULLEN
COUGHLIN^{LLC}
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426 W. Lancaster Avenue, Suite 200
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February 14, 2025

VIA E-MAIL

Office of the Attorney General
Attn: Security Breach Notification
200 St. Paul Place
Baltimore, MD 21202
E-mail: idtheft@oag.state.md.us

Re: Notice of Data Event

To Whom It May Concern:

We represent Auxis Investment Holdings, LLC (“Auxis”) located at 8151 Peters Road, Suite 3500, Plantation, FL 33324, and are writing to notify your office of an incident that may affect the security of certain personal information relating to three (3) Maryland residents. The investigation into this matter is ongoing, and this notice will be supplemented with any significant new facts learned subsequent to its submission. By providing this notice, Auxis does not waive any rights or defenses regarding the applicability of Maryland law, the applicability of the Maryland data event notification statute, or personal jurisdiction.

Nature of the Data Event

On November 23, 2024, Auxis became aware of suspicious activity on its computer network. Auxis promptly took steps to disconnect its network, commenced recovery efforts, and launched an investigation into the full nature and scope of the activity. The investigation determined that an unauthorized actor accessed certain segments of its network between November 21, 2024, and November 23, 2024, and viewed or copied certain files stored in its system. Although a detailed and thorough review of the viewed or copied files is ongoing, Auxis recently determined that current and former employee information was included in the impacted files.

The information that could have been impacted includes name, Social Security number, address, passport information, date of birth, and health insurance information.

Notice to Maryland Residents

On or about February 14, 2025, Auxis began providing written notice of this incident to three (3) Maryland residents. Written notice is being provided in substantially the same form as the letter attached here as ***Exhibit A***. Notification to individuals is ongoing and Auxis may supplement this notification if it is determined that a significant amount of additional Maryland residents will receive notice.

Other Steps Taken and To Be Taken

Once Auxis became aware of the event, Auxis moved quickly to investigate and respond to the incident, assess the security of Auxis systems, and identify potentially affected individuals. Further, Auxis notified federal law enforcement regarding the event. Auxis is also working to implement additional safeguards and training for its employees. Auxis is providing access to credit monitoring services for twelve (12) months, through Cyberscout, a TransUnion company, to individuals whose personal information was potentially affected by this incident, at no cost to these individuals.

Additionally, Auxis is providing impacted individuals with guidance on how to better protect against identity theft and fraud. Auxis is providing individuals with information on how to place a fraud alert and security freeze on one's credit file, the contact details for the national consumer reporting agencies, information on how to obtain a free credit report, a reminder to remain vigilant for incidents of fraud and identity theft by reviewing account statements and monitoring free credit reports, and encouragement to contact the Federal Trade Commission, their state Attorney General, and law enforcement to report attempted or actual identity theft and fraud.

Auxis is providing written notice of this incident to relevant state regulators, and to the three major credit reporting agencies, Equifax, Experian, and TransUnion, as necessary.

Contact Information

Should you have any questions regarding this notification or other aspects of the data security event, please contact us at (267) 930-4801.

Very truly yours,

A handwritten signature in black ink, appearing to read "Alexander Walker", enclosed within a thin black rectangular border.

Alexander Walker of
MULLEN COUGHLIN LLC

ATW/cob
Enclosure

EXHIBIT A



0000346

Auxis Investment Holdings
c/o Cyberscout
555 Monster Rd SW
Renton, WA 98057
USBFS276

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auxis
8151 Peters Road, Suite 3500
Plantation, FL 33324
(954) 236-4000

February 14, 2025

Notice of Security Incident

Dear [REDACTED]:

Auxis Investment Holdings, LLC ("Auxis") writes to inform you of a recent event that may affect the privacy of some of your information. This letter provides details of the event, our response, and resources available to assist you in safeguarding your information, should you feel it is appropriate to do so.

What Happened. On November 23, 2024, Auxis became aware of suspicious activity on our computer network. In response, we neutralized the threat and launched an investigation into the nature and scope of the activity. Our investigation determined that an unauthorized actor accessed certain segments of our network between November 21, 2024, and November 23, 2024, and viewed or copied certain files stored in our network. Although the review is ongoing, we recently determined that information related to you was potentially impacted by this event and then worked to locate current mailing addresses in order to provide notification.

What Information Was Involved? The following types of your information may have been impacted by this incident: Social Security number, address, passport information, date of birth, and/or health insurance information, to the extent that you provided this information to Auxis during the course of your employment. At this time, we have no indication that your information was subject to actual or attempted misuse as a result of this incident.

What We Are Doing. We take this incident and the security of personal information in our care seriously. As part of our ongoing commitment to the privacy of information in our care, we implemented additional technical security measures and are reviewing and enhancing existing policies and procedures.

As an added precaution, Auxis is offering you complimentary access to [REDACTED] of credit monitoring through Cyberscout, a TransUnion Company specializing in fraud assistance and remediation services, as well as guidance on how to better protect your information, should you feel it appropriate to do so. Details of this offer and instructions on how to enroll in the services may be found in the attached *Steps You Can Take to Protect Personal Information*. Please note that, due to privacy restrictions, we are unable to automatically enroll you in the offered credit monitoring services, and you will need to complete the activation process yourself using the enrollment instructions included in this letter.

What You Can Do. We encourage you to remain vigilant against incidents of identity theft and fraud by reviewing your account statements and monitoring your free credit reports for suspicious activity and to

detect errors. Additional information may be found in the attached *Steps You Can Take to Protect Personal Information*.

For More Information. If you have questions about this matter, you may contact our dedicated assistance line at 1-800-405-6108, Monday through Friday from 8:00 am to 8:00 pm (excluding major U.S. holidays). You may also write to us at 8151 Peters Road, Suite 3500, Plantation, FL 33324.

Sincerely,

Lizzie Arroyo
VP of Human Resources
Auxis Investment Holdings, LLC

Steps You Can Take To Protect Personal Information

Enroll in Monitoring Services

In response to the incident, we are offering you services provided by Cyberscout, a TransUnion Company specializing in fraud assistance and remediation services. We are providing you with access to Single Bureau Credit Monitoring/Single Bureau Credit Report/Single Bureau Credit Score services at no charge for [REDACTED] from the date of enrollment.

Upon your completion of the enrollment process, you will have access to the following features:

- Access to a credit report with credit score. A credit report is a snapshot of a consumer's financial history and primary tool leveraged for determining credit-related identity theft or fraud.
- Credit monitoring alerts with email notifications to key changes on a consumer's credit file. In today's virtual world, credit alerts are a powerful tool to protect against identity theft, enable quick action against potentially fraudulent activity, and provide overall confidence to potentially impacted consumers.
- Identity theft insurance of up to \$1,000,000 in coverage to protect against potential damages related to identity theft and fraud
- Assistance with reading and interpreting credit reports for any possible fraud indicators.
- Assistance with answering any questions individuals may have about fraud.

How do I enroll for the free services?

To enroll in Credit Monitoring services at no charge, please log on to <https://bfs.cyberscout.com/activate> and follow the instructions provided. When prompted please provide the following unique code to receive services: [REDACTED]. In order for you to receive the monitoring services described above, you must enroll within 90 days from the date of this letter. The enrollment requires an internet connection and e-mail account and may not be available to minors under the age of 18 years of age. Please note that when signing up for monitoring services, you may be asked to verify personal information for your own protection to confirm your identity.

Monitor Your Accounts

Under U.S. law, a consumer is entitled to one free credit report annually from each of the three major credit reporting bureaus, Equifax, Experian, and TransUnion. To order a free credit report, visit www.annualcreditreport.com or call, toll-free, 1-877-322-8228. Consumers may also directly contact the three major credit reporting bureaus listed below to request a free copy of their credit report.

Consumers have the right to place an initial or extended "fraud alert" on a credit file at no cost. An initial fraud alert is a 1-year alert that is placed on a consumer's credit file. Upon seeing a fraud alert display on a consumer's credit file, a business is required to take steps to verify the consumer's identity before extending new credit. If consumers are the victim of identity theft, they are entitled to an extended fraud alert, which is a fraud alert lasting seven years. Should consumers wish to place a fraud alert, please contact any of the three major credit reporting bureaus listed below.

As an alternative to a fraud alert, consumers have the right to place a "credit freeze" on a credit report, which will prohibit a credit bureau from releasing information in the credit report without the consumer's express authorization. The credit freeze is designed to prevent credit, loans, and services from being approved in a consumer's name without consent. However, consumers should be aware that using a credit freeze to take control over who gets access to the personal and financial information in their credit report may delay, interfere with, or prohibit the timely approval of any subsequent request or application they make regarding a new loan, credit, mortgage, or any other account involving the extension of credit.

Pursuant to federal law, consumers cannot be charged to place or lift a credit freeze on their credit report. To request a credit freeze, individuals may need to provide some or all of the following information:

1. Full name (including middle initial as well as Jr., Sr., II, III, etc.);
2. Social Security number;
3. Date of birth;
4. Addresses for the prior two to five years;
5. Proof of current address, such as a current utility bill or telephone bill;
6. A legible photocopy of a government-issued identification card (state driver's license or ID card, etc.); and
7. A copy of either the police report, investigative report, or complaint to a law enforcement agency concerning identity theft if they are a victim of identity theft.

Should consumers wish to place a credit freeze or fraud alert, please contact the three major credit reporting bureaus listed below:

Equifax	Experian	TransUnion
https://www.equifax.com/personal/credit-report-services/	https://www.experian.com/help/	https://www.transunion.com/credit-help
1-888-298-0045	1-888-397-3742	1-833-799-5355
Equifax Fraud Alert, P.O. Box 105069 Atlanta, GA 30348-5069	Experian Fraud Alert, P.O. Box 9554, Allen, TX 75013	TransUnion Fraud Alert, P.O. Box 2000, Chester, PA 19016
Equifax Credit Freeze, P.O. Box 105788 Atlanta, GA 30348-5788	Experian Credit Freeze, P.O. Box 9554, Allen, TX 75013	TransUnion Credit Freeze, P.O. Box 160, Woodlyn, PA 19094

Additional Information

Consumers may further educate themselves regarding identity theft, fraud alerts, credit freezes, and the steps they can take to protect your personal information by contacting the consumer reporting bureaus, the Federal Trade Commission, or their state Attorney General. The Federal Trade Commission may be reached at: 600 Pennsylvania Avenue NW, Washington, D.C. 20580; www.identitytheft.gov; 1-877-ID-THEFT (1-877-438-4338); and TTY: 1-866-653-4261. The Federal Trade Commission also encourages those who discover that their information has been misused to file a complaint with them. Consumers can obtain further information on how to file such a complaint by way of the contact information listed above. Consumers have the right to file a police report if they ever experience identity theft or fraud. Please note that in order to file a report with law enforcement for identity theft, consumers will likely need to provide some proof that they have been a victim. Instances of known or suspected identity theft should also be reported to law enforcement and the relevant state Attorney General. This notice has not been delayed by law enforcement.

For District of Columbia residents, the District of Columbia Attorney General may be contacted at: 400 6th Street, NW, Washington, D.C. 20001; 1-202-442-9828; and oag.dc.gov.

For Maryland residents, the Maryland Attorney General may be contacted at: 200 St. Paul Place, 16th Floor, Baltimore, MD 21202; 1-410-576-6300 or 1-888-743-0023; and <https://www.marylandattorneygeneral.gov/>.

For New York residents, the New York Attorney General may be contacted at: Office of the Attorney General, The Capitol, Albany, NY 12224-0341; 1-800-771-7755; or <https://ag.ny.gov>.

For North Carolina residents, the North Carolina Attorney General may be contacted at: 9001 Mail Service Center, Raleigh, NC 27699-9001; 1-877-566-7226 or 1-919-716-6000; and www.ncdoj.gov.

For Rhode Island residents, the Rhode Island Attorney General may be reached at: 150 South Main Street, Providence, RI 02903; www.riag.ri.gov; and 1-401-274-4400. Under Rhode Island law, individuals have the right to obtain any police report filed in regard to this event. There is approximately 1 Rhode Island residents that may be impacted by this event.